



滙澤證券有限公司  
T G SECURITIES LIMITED

Account Opening Form 開戶資料表		
(Corporate / Sole Proprietor / Partnership Account) (公司/獨資經營/合伙經營戶口)		<u>For official use only</u> 只供本行使用
Please select the type of account 請選擇戶口類別		Account No. 戶口號碼：
<input type="checkbox"/> HK Futures And Options Trading 香港期貨/期權買賣帳戶 <input type="checkbox"/> Overseas Futures & Options Trading 海外期貨/期權買賣帳戶		Date Opened 開戶日期：
Corporate Information 公司資料		
Name of client		(English 英文) (Chinese 中文)
Nature of business 業務性質	Place of incorporation 公司註冊地點	Date of incorporation 公司註冊日期
Registration number in country of incorporation / establishment 公司註冊號碼		Business Registration No. 商業登記號碼
<input type="checkbox"/> Private limited company 私人公司	<input type="checkbox"/> Public limited company 公共企業	<input type="checkbox"/> Sole Proprietor 獨資經營
<input type="checkbox"/> Partnership 合伙經營	<input type="checkbox"/> Others 其他	
Registered address 公司註冊地址 <input type="checkbox"/> Correspondence Address 通訊地址		
Business address (if different) 公司營業地址(如有不同) <input type="checkbox"/> Correspondence Address 通訊地址		
Telephone no. 電話號碼	Fax no. 傳真號碼 / Telex no. 電傳號碼	e-mail Address 電子郵箱
Nominated Bank Account 指定銀行戶口		
Please nominate the bank account you wish to use fund deposit (the account must be your name) 請指示銀行戶口以供入數之用(必須與客戶名稱相同)		
Bank Name 銀行名稱：		
Account Number 戶口號碼：		
Directors / Partners 董事/合伙人		
1.	2.	3.
4.	5.	6.



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Latest Financial Information 最近期之財務資料

Issued / paid capital 已發行/已繳足股本 HK\$ _____	Net tangible assets 有形資產淨值 (as at 日期 _____) HK\$ _____
Sales turnover for the past two years 最近2年之營業額	Profit after tax for the last 2 years 最近2年之除稅後溢利
1. HK\$ _____ ( _____ ) Year 年	1. HK\$ _____ ( _____ ) Year 年
2. HK\$ _____ ( _____ ) Year 年	2. HK\$ _____ ( _____ ) Year 年

Investment Experience 投資經驗

- Nil 無
  Warrants 認股證 \_\_\_\_\_ year 年  
 HK listed Stock 香港上市證券 \_\_\_\_\_ year 年
  Overseas listed stocks 海外上市證券 \_\_\_\_\_ year 年  
 HK futures / options 香港期貨/期權 \_\_\_\_\_ year 年
  Overseas futures / options 海外期貨/期權 \_\_\_\_\_ year 年

Investment Objectives 投資目標

- Income 收入 \_\_\_\_\_ %
  Capital gain 資本增值 \_\_\_\_\_ %  
 Hedging 對沖 \_\_\_\_\_ %
  Speculation 投機 \_\_\_\_\_ %

Risk Factors 風險因素

- Low 低風險
  High 高風險  
 Medium 中風險
  Very High 極高風險

Bank References 銀行資料

Banker(s) 銀行：

Branch(es) / Address(es) 分行/地址：

Account Name(s) 戶口名稱：

Account No(s). 戶口號碼：

Account Type(s) 戶口類別： Saving 儲蓄  Current 往來  Others 其他\_\_\_\_\_

Broker References 經紀資料

Accounts held with other brokerage firms 在其他經紀行設有戶口：

- Yes 有
  No 沒有

Other Broker(s) 其他經紀行名稱：

Address(es) 地址：

Account Type(s) 戶口類別： Cash 現金  Margin 孖展

- Commodities 商品
  Securities 證券
  Foreign Exchange 外匯
  Others 其他\_\_\_\_\_

Account Name(s) 戶口名稱：\_\_\_\_\_ Account No(s). 戶口號碼：\_\_\_\_\_



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Related Accounts 關聯戶口

Do you have any related company which has already opened an account with T G Securities?

是否有任何與貴公司同一集團之成員已在滙澤證券開立戶口？

Yes, name of the related company 是，該公司名稱：

No 否

Account number: 戶口號碼：

Is any client of T G Securities, either alone or with his spouse, in control of 35% or more of your voting right?

是否有任何滙澤證券的客戶單獨或與其配偶共同控制貴公司 35% 或以上的表決權？

Yes, name of client 是，客戶名稱：

No 否

Account number: 戶口號碼：

Shareholders & Ultimate Beneficial Owner(s) of the Account 股東及戶口最終權益擁有人

The Client hereby declares that 客戶茲聲明

1. The name and address of the shareholder(s) (use separate sheet if necessary) 股東之名稱及地址(如空位不足，請另加紙填寫)

Name 姓名

Address 地址

\_\_\_\_\_

2.  The ultimate beneficial owner(s) of the account is / are (use separate sheet if necessary)

以下人士為此戶口最終權益擁有人(如空位不足，請另加紙填寫)

\_\_\_\_\_

The Client is acting as a financial intermediary and the Client shall inform T G Securities or the Hong Kong Regulators of the ultimate beneficiary of the Account upon request

客戶本身作為金融中介機構，而客戶須應要求通知滙澤證券或香港監管機構有關此戶口最終權益擁有人資料。

3. Is any of the above beneficial owner a registered person, or a director or an employee of a member of the Futures Exchange or a registered person of SFC?

最終權益擁有人是否期交所或證監會的註冊人士，或任何註冊人士之董事或僱員？

Yes, name of the registered person 是，註冊人士名稱：

No 否

4. Is any of the above beneficial owner(s) related to the director(s) or employee(s) of T G Securities Limited or the group companies?

最終權益人是否與滙澤證券或滙澤同一集團之其他成員公司的董事或僱員有直屬關係？

Yes, name of the director(s) or employee(s) 是，董事或僱員名稱：

No 否



Authorized Persons 獲授權人士

(a) Instructions in relation to the operation of the Account and to effect Transactions and other dealings in futures/options contact:

以下人士獲授權運作此戶口及發出買賣指示：

Name 姓名	Title 職位	Telephone No. 電話號碼
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____

(b) Responsible for settlement on behalf of the Client:

以下人士負責處理交收事宜：

Name 姓名	Title 職位	Telephone No. 電話號碼
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____

Specimen Authorized Signatures 授權簽署式樣

The following person(s) is/are authorized to operate the account 以下人士獲授權簽署：

A total of \_\_\_\_\_ signatures, any \_\_\_\_\_ signature(s) will be valid.

總共 \_\_\_\_\_ 個簽名，任何 \_\_\_\_\_ 個簽名有效。

Name:  
姓名：\_\_\_\_\_

H.K.I.D. / Passport No.:  
香港身份證號碼/護照號碼：\_\_\_\_\_

Specimen Signature:  
簽署式樣：\_\_\_\_\_

Name:  
姓名：\_\_\_\_\_

H.K.I.D. / Passport No.:  
香港身份證號碼/護照號碼：\_\_\_\_\_

Specimen Signature:  
簽署式樣：\_\_\_\_\_

Name:  
姓名：\_\_\_\_\_

H.K.I.D. / Passport No.:  
香港身份證號碼/護照號碼：\_\_\_\_\_

Specimen Signature:  
簽署式樣：\_\_\_\_\_

Name:  
姓名：\_\_\_\_\_

H.K.I.D. / Passport No.:  
香港身份證號碼/護照號碼：\_\_\_\_\_

Specimen Signature:  
簽署式樣：\_\_\_\_\_

Name:  
姓名：\_\_\_\_\_

H.K.I.D. / Passport No.:  
香港身份證號碼/護照號碼：\_\_\_\_\_

Specimen Signature:  
簽署式樣：\_\_\_\_\_

Name:  
姓名：\_\_\_\_\_

H.K.I.D. / Passport No.:  
香港身份證號碼/護照號碼：\_\_\_\_\_

Specimen Signature:  
簽署式樣：\_\_\_\_\_

(Please continue in separate sheets if necessary) (如有需要，請繼續於附加頁)



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T G SECURITIES LIMITED

The Client represents that the information contained in this Account Opening Form is true, complete and correct and that the representations in the attached current version of the Standard Terms and Conditions for Futures and Options Trading of T G Securities Limited (the "Agreement") are accurate. T G Securities Limited ("T G") is entitled to rely fully on such information and representations for all purposes, unless T G receives notice in writing of any change. T G is authorized at any time to contact anyone, including my / our banks, brokers and credit agency, for the purpose of verifying the information provided on this Account Opening Form. A copy of T G's Personal Information Collection Statement is available upon request.

客戶茲聲明在本開戶資料表內的資料均屬真實，完整及正確，而附上滙澤證券有限公司最新版本的期貨及期權交易標準條款（「該協議書」）一切內容準確。除非滙澤證券有限公司（「滙澤證券」）收到有關更改開戶資料表內容的書面通知，滙澤證券有權在任何用途上完全依賴這些資料及聲明。滙澤證券有權隨時聯絡任何人，包括客戶之銀行、經紀或任何信貸機構，以求證實此客戶資料表所載之內容。

We, the undersigned Client, hereby apply to open Futures / Options Account. We have read and understood the provisions of the Agreement of which this document forms a part and agree to be bound by the Agreement (receipt of a copy whereof is hereby acknowledged by us) as the same may be amended from time to time. We acknowledge and confirm that T G has provided the Risk Disclosure Statements and Disclaimers annexed hereto in a language our choice (English or Chinese) and we have been invited to read the same, to ask questions and take independent advice if we wish.

本公司，下述簽署客戶，現申請開立期貨/期權買賣帳戶。本公司已閱讀過及明白附上的該協議書，而本文件乃該協議書的一部份，並同意接受可不時被修改的該協議書（謹此聲明本公司已收妥其副本）所約束。本公司確認滙澤證券有限公司已按照本公司選擇的語言（中文或英文）獲提供附於本表的風險披露聲明及免責聲明，及已獲邀閱讀該等聲明、提出問題及徵求獨立的意見（如本公司有此意願）。

\_\_\_\_\_  
Authorized Signature 授權人簽名

\_\_\_\_\_  
Date 日期



滙澤證券有限公司  
T G SECURITIES LIMITED

**For Office Use Only** 只供本行使用

DECLARATION BY STAFF 職員聲明

I/We, T G representative(s), hereby declare and confirm that I/we have provided the Risk Disclosure Statement and Disclaimers in a language of the Client's choice (English or Chinese) and invited the Client to read the same, ask questions and take independent advice if the Client/Joint Client so wishes.

本人/吾等為滙澤證券有限公司的註冊職員，並謹此聲明及確認本人/吾等已按照上述客戶所選擇的語言(英文或中文)提供附於本表的風險披露聲明及免責聲明及邀請客戶/聯名客戶閱讀該等聲明，提出問題及徵求獨立的意見。

\_\_\_\_\_  
Signed by licensed or registered person  
註冊人簽署

\_\_\_\_\_  
Name and CE No. of TG Staff  
滙澤職員名稱及 CE 編號

\_\_\_\_\_  
Date  
日期

**For Official Use Only** 只供本行使用

Introduced by 介紹人：

How Long Known to Client 與客戶相識年期：

Name of Account Executive 客戶主任姓名：

AE No 客戶主任號碼：

Bank and Credit References Obtained 已獲銀行及信貸參考：  
Yes 是/ No 否

Credit Limit Suggested by AE 客戶主任建議之信用額：

Documents Checked By 文件查核：

Account Executive Signature 客戶主任簽署：

Account Approved By 批准：

**SPECIMEN SIGNATURE CARD**



滙澤證券有限公司  
T G SECURITIES LIMITED

**Specimen Signature Card**

Name	HK ID No./Passport No.	Specimen Signature(s)
1.		
2.		
3.		
4.		
5.		

Company Chop (for corporate account)	No. of signature(s) required (for joint & corporate account)
	Contact Person (for joint & corporate account)
	Phone Number:
	Date:

Bank:	Account Number:
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