



滙澤證券有限公司  
T G SECURITIES LIMITED

Account Opening Information Form

開戶資料表

(Individual / Joint Account) (個人/聯名戶口)	<u>For official use only</u> 只供本行使用
Please select the type of account 請選擇戶口類別	Account No. 戶口號碼：
<input type="checkbox"/> Cash Securities Trading 現金證券買賣戶口 <input type="checkbox"/> Margin Securities Trading 保證金證券買賣戶口	Date Opened 開戶日期：
<input type="checkbox"/> Equity Options Trading 期權買賣戶口 <input type="checkbox"/> Online Services 網上服務	

Client Information 客戶個人資料

Name of client (English 英文)  
客戶姓名  
(Chinese 中文)

I.D. / Passport No. 身份證號碼/護照號碼： \_\_\_\_\_ Date of Birth 出生日期： \_\_\_\_\_

Residential Address 住宅地址： \_\_\_\_\_

Tel. No. (Residential 住宅) 電話號碼： \_\_\_\_\_ (Mobile 手提) 電子郵箱： \_\_\_\_\_ Email Address

Name of Employer 僱主名稱： \_\_\_\_\_

Employer Address 僱主地址： \_\_\_\_\_

Business Tel. No. 工作電話號碼： \_\_\_\_\_ Fax No. 傳真號碼： \_\_\_\_\_

Position Held 職位： \_\_\_\_\_ Occupation / Nature of Business 職業/業務性質： \_\_\_\_\_

All correspondence of the account including statements, confirmations, notices, contracts etc to be mailed to:  
請將上述帳戶之信件，包括結算單、確認書、通知書及契約書等發送至：

Residential address 住宅地址  
 Business address 工作地址  
 Email address 電郵地址  
 Other address, please specify (其他地址，請說明)  
\_\_\_\_\_

Nominated Bank Account 指定銀行戶口

Please nominate the bank account you wish to use for fund deposit (the account must be your name)  
請指示銀行戶口以供入數之用(必須與客戶名稱相同)

Bank Name 銀行名稱： \_\_\_\_\_

Account Number 戶口號碼： \_\_\_\_\_



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Client Financial Position 客戶財政狀況

Annual Income 每年收入：

Income Source 收入來源：(Can choose more than one item 可選擇多於一項)

- Salary / Commission 薪金/佣金  Rent 租金  Dividend / Interest 股息/利息  Business Profit 營業利潤  
 Others (please specify) 其他(請列明)：\_\_\_\_\_

Estimated Total Amount (in HKD) 估計總金額(港幣計)：

- Below 少於\$120,000  \$120,000~\$360,000  \$360,001~\$600,000  \$600,001~\$1,200,000  Above 多於\$1,200,000

Asset Net Worth 資產淨值：

Asset Class 自產類別：(Can choose more than one item 可選擇多於一項)

- Property 房產  Cash / Deposit 現金/存款  Valuable Stock 有價證券  
 Others (please specify) 其他(請列明)：\_\_\_\_\_

Approximate Total Net Worth (in HKD) 概算總淨值：

- Below 少於\$100,000  \$100,000~\$300,000  \$300,001~\$500,000  \$500,001~\$1,000,000  Above 多於\$1,000,000

Investment Experience 投資經驗

- Nil 無  Warrants 認股證 \_\_\_\_\_ year 年  
 HK listed Stock 香港上市證券 \_\_\_\_\_ year 年  Overseas listed stocks 海外上市證券 \_\_\_\_\_ year 年  
 HK futures / options 香港期貨/期權 \_\_\_\_\_ year 年  Overseas futures / options 海外期貨/期權 \_\_\_\_\_ year 年

Investment Objectives 投資目標

- Income 收入 %  Capital gain 資本增值 %  
 Hedging 對沖 %  Speculation 投機 %

Risk Factors 風險因素

- Low 低風險  High 高風險  
 Medium 中風險  Very High 極高風險

Bank References 銀行資料

Banker(s) 銀行：\_\_\_\_\_

Branch(es) / Address(es) 分行/地址：\_\_\_\_\_

Account Name(s) 戶口名稱：\_\_\_\_\_

Account No(s). 戶口號碼：\_\_\_\_\_

Account Type(s) 戶口類別： Saving 儲蓄  Current 往來  Others 其他\_\_\_\_\_

Broker References 經紀資料

Accounts held with other brokerage firms 在其他經紀行設有戶口：

- Yes 有  No 沒有

If yes, please specify below: (其他, 請說明)

Other Broker(s) 其他經紀行名稱：\_\_\_\_\_

Address(es) 地址：\_\_\_\_\_

Account Type(s) 戶口類別： Cash 現金  Margin 孖展  Securities 證券  Futures 期指  Commodities 商品  
 Foreign Exchange 外匯  Others 其他\_\_\_\_\_

Account Name(s) 戶口名稱：\_\_\_\_\_ Account No(s). 戶口號碼：\_\_\_\_\_



Client Declarations 客戶聲明

I / We confirm and declare that 本人/吾等確認及聲明如下：

- (a)  I am / We are not an employee or agent of a member of the Stock Exchange or a registered person of the SFC.  
本人/吾等並非香港聯合交易所會員或證監會註冊人之僱員或代理人。
- I am / We are an employee or agent of a member of the Stock Exchange or a registered person of the SFC. The name of the member or the registered person to whom I / we am / are engaged as an employee or agent is  
本人/吾等為香港聯合交易所會員或證監會註冊人之僱員或代理人，該會員或註冊人之名稱為：  
\_\_\_\_\_
- (b)  I am/We are not related to any employee or director of T G Securities Limited or the group companies.  
本人/吾等與滙澤及其集團成員公司之僱員或董事並無直屬關係。
- I am/We are related to the employee or director of T G Securities Limited or the group companies. The name of employee is:  
本人/吾等與滙澤及其集團成員公司之僱員或董事有直屬關係，該僱員之名稱為：  
\_\_\_\_\_ Relationship 關係：\_\_\_\_\_
- (c)  I am / We are not acting as an intermediary for the account. The trading orders placing with T G Securities are not for any of my / our clients or any ultimate beneficiary.  
本人/吾等並非以戶口中介人的身份行事。向滙澤作出的買賣指令，均非為本人/吾等的客戶或任何本人/吾等以外的最終受益人作出。

I am / We are acting as an intermediary for the account. Please list below:

本人/吾等是以戶口中介人的身份行事。請詳列如下：

The ultimate beneficial owner(s) is / are 最終受益人之名稱為：

HKID / Passport No.

香港身份證號碼/護照號碼：\_\_\_\_\_

Contact No.

聯絡電話號碼：\_\_\_\_\_

Residential Address 住宅地址：

Employer's Name

僱主名稱：\_\_\_\_\_

Occupation

職業：\_\_\_\_\_

Employer's Address 僱主地址：

Person(s) ultimately responsible for giving instructions in relation to transactions to be conducted through the account is / are  
最終負責就該帳戶進行之交易發出指示之人士：

HKID / Passport No.

香港身份證號碼/護照號碼：\_\_\_\_\_

Contact No.

聯絡電話號碼：\_\_\_\_\_

Residential Address 住宅地址：

Employer's Name

僱主名稱：\_\_\_\_\_

Occupation

職業：\_\_\_\_\_

Employer's Address 僱主地址：



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T G SECURITIES LIMITED

The Client represents that the information contained in this Account Opening Information Form is true, complete and correct and that the representations in the attached current version of the Standard Terms and Conditions for Securities Trading of T G Securities Limited (the "Agreement") are accurate. T G Securities Limited ("T G") is entitled to rely fully on such information and representations for all purposes, unless T G receives notice in writing of any change. T G is authorized at any time to contact anyone, including my / our banks, brokers and credit agency, for the purpose of verifying the information provided on this Account Opening Information Form.

客戶茲聲明在本開戶資料表內的資料均屬真實，完整及正確，而附上滙澤證券有限公司最新版本的證券交易標準條款(“該協議書”)一切內容準確。除非滙澤證券有限公司(“滙澤證券”)收到有關更改開戶資料表內容的書面通知，滙澤證券有權在任何用途上完全依賴這些資料及聲明。滙澤證券有權隨時聯絡任何人，包括客戶之銀行、經紀或任何信貸機構，以求證實此開戶資料表所載之內容。

I/We, the undersigned Client(s), hereby apply to open Securities Account. I/We have read and understood the provisions of the Agreement of which this document forms a part and agree to be bound by the agreement (receipt of a copy whereof is hereby acknowledged by me/us) as the same may be amended from time to time. I/We acknowledge and confirm that T G has provided the Risk Disclosure Statements and Disclaimers annexed hereto in a language my/our choice (English or Chinese) and I/we have been invited to read the same, to ask questions and take independent advice if I/we wish.

本人/吾等，下述簽署客戶，現申請開立證券買賣帳戶。本人/吾等已閱讀過及明白附上的該協議書，而本文件乃該協議書的一部份，並同意接受可不時被修改的該協議書(謹此聲明本人/吾等已收妥其副本)所約束。本人/吾等確認滙澤證券已按照本人/吾等選擇的語言(中文或英文)獲提供附於本表的風險披露聲明及免責聲明，及已獲邀閱讀該等聲明、提出問題及徵求獨立的意見(如本人/吾等有此意願)。

Authorized Signature(s) 授權人簽名

Date 日期

Staff Declarations 職員聲明

I, \_\_\_\_\_ (Name of licensed or registered person in block letters), CE No. \_\_\_\_\_, declare that I have provided the above client with the Risk Disclosure Statement in a language of the client's choice (English or Chinese) and invited the client to read the Risk Disclosure Statement referred to in clause 12 of the Securities Trading Agreement, ask questions and take independent advice if the client so wishes.

本人 \_\_\_\_\_ (註冊人姓名(請用正楷字體填寫))，中央編號 \_\_\_\_\_，確認本人已按照上述客戶所選擇的語言(英文或中文)提供風險披露聲明及提示客戶閱讀證券交易協議書條款 12 該風險披露聲明、亦邀請客戶如有需要可以提出問題及徵求獨立的意見。

For Official Use Only 只供本行使用

Introduced by 介紹人：	How Long Known to Client 與客戶相識年期：
Name of Account Executive 客戶主任姓名：	AE No 客戶主任號碼：
Bank and Credit References Obtained 已獲銀行及信貸參考： Yes 是/ No 否	Credit Limit Suggested by AE 客戶主任建議之信用額：
Documents Checked By 文件查核：	Account Executive Signature 客戶主任簽署：

Account Approved By 批准：



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**Specimen Signature Card**

Name	ID Card / Passport No.	Specimen Signature(s)
1.		
2.		
3.		
4.		
5.		

Company Chop (for corporate account)	No. of signature(s) required (for joint & corporate account)
	Contact Person (for joint & corporate account)
	Phone Number:
	Date:

Bank:	Account Number:
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