



No need to complete the items marked with "For official use only".

**Account Opening Information Form**  
**开户资料表**

(Individual / Joint Account) (个人/联名户口)	Tick to select the product account for trading.	For official use only 只供本行使用
Please select the type of account 请选择户口类别		Account No. 户口号码 :
<input checked="" type="checkbox"/> HK Futures And Options Trading 香港期货/期权买卖账户		Date Opened 开户日期 :
<input checked="" type="checkbox"/> Overseas Futures and Options Trading 海外期货/期权买卖账户		

**The Client's Information 客户个人资料**

Name of client (English 英文) **Simon Williams, MANLEY**  
客户姓名  
(Chinese 中文) **N/A**

I.D. / Passport No. 身份证号码/护照号码 : 441295198308309980      Date of Birth 出生日期 : August 30, 1983

Residential Address 住宅地址 :  
Room 2008, Youhe Building, Futian District, Shenzhen City

Tel. No. 1-602-1234567 (Residential 住宅)      e-mail Address 电子邮箱 : simonwilliams@hotmail.com  
电话号码 : 1-602-1234567 (Mobile 手提)

Name of Employer 雇主名称 :  
Shenzhen Hengxi Technology Limited

Employer Address 雇主地址 :  
Room 2808, A Unit, Caifu Building, Futian District, Shenzhen City

Business Tel. No. 商业电话号码 : 86-755-8957 8938      Fax No. 传真号码 : 86-755-8958 8966

Position Held 职位 : Soft Engineer      Occupation / Nature of Business 职业/业务性质 : Soft Exploitation

You can obtain account statements and other files by email or mail (Please choose one).

All correspondence of the account including statements, confirmations, notices, contracts etc to be mailed to:  
有关帐户之文件包括：结单，确认书，通告，合同等发送到地址：

Residential address 住宅地址  
 Business address 工作地址  
 Email address 电子邮箱  
 Other Address, please specify (其他地址，请说明)

Note: That funds withdrawn from your futures/options trading account are to be deposited into this bank account.

**Nominated Bank Account 指定银行户口**

Please nominate the bank account you wish to use fund deposit (the account must be your name)  
请指示银行户口以供入数之用(必须与客户名称相同)

Bank Name of Hong Kong 香港银行名称 : **Bank Of China(Hong Kong)**

Account Number 户口号码 : **012-875-12345678**



汇泽证券有限公司  
T G SECURITIES LIMITED

Client Financial Position 客户财政状况

Annual Income 每年收入：

Income Source 收入来源：(Can choose more than one item 可选择多于一项)

Salary / Commission 薪金/佣金     Rent 租金     Dividend / Interest 股息/利息     Business Profit 营业利润

Others (please specify) 其他(请列明)： \_\_\_\_\_

Estimated Total Amount (in RMB) 估计总金额 (人民币计)：

Below 少于\$20,000     \$20,000~\$100,000     \$100,001~\$300,000     \$300,001~\$600,000     Above 多于\$600,000

Asset Net Worth 资产净值：

Asset Class 资产类别：(Can choose more than one item 可选择多于一项)

Property 房产     Cash / Deposit 现金/存款     Valuable Stock 有价证券

Others (please specify) 其他(请列明)： \_\_\_\_\_

Approximate Total Net Worth (in RMB) 概算总净值 (人民币计)：

Below 少于\$100,000     \$100,000~\$300,000     \$300,001~\$500,000     \$500,001~\$1,000,000     Above 多于\$1,000,000

Investment Experience 投资经验

Nil 无

Warrants 认股证 \_\_\_\_\_ year 年

HK listed Stock 香港上市证券 \_\_\_\_\_ year 年

Overseas listed stocks 海外上市证券 \_\_\_\_\_ year 年

HK futures / options 香港期货/期权 \_\_\_\_\_ year 年

Overseas futures / options 海外期货/期权 1 year 年

Investment Objectives 投资目标

Risk Factors 风险因素

Income 收入    %     Capital gain 资本增值    30 %

Low 低风险     High 高风险

Hedging 对冲    %     Speculation 投机    70 %

Medium 中风险     Very High 极高风险

Bank References 银行资料

Banker(s) 银行： Bank Of China(Hong Kong)

Branch(es) / Address(es) 分行/地址： Bank Of China Building, No.1, Garden Road, Central, Hongkong

Account Name(s) 户口名称： Simon Williams

Account No(s). 户口号码： 012-875-12345678

Account Type(s) 户口类别：  Saving 活期     Current 支票     Others 其他 \_\_\_\_\_



汇泽证券有限公司  
T G SECURITIES LIMITED

Broker References 经纪资料

Accounts held with other brokerage firms 在其他经纪行设有户口：

Yes 有  No 没有

If yes, please specify below: (其他, 请说明)

Other Broker(s) 其他经纪行名称: CHARLES SCHWAB

Address(es) 地址: SUITE 1200, TWO Securities Building, Admiralty, HONG KONG

Account Type(s) 户口类别:  Cash 现金  Margin 孖展  Securities 证券  Futures 期指  Commodities 商品  
 Foreign Exchange 外汇  Others 其他 \_\_\_\_\_

Account Name(s) 户口名称: Simon Williams Manley Account No(s). 户口号码: 12345

Client Declarations 客户声明

I / We confirm and declare that 本人/吾等确认及声明如下：

(a)  I am / We are not an employee or agent of a member of the Futures Exchange or a registered person of the SFC.

本人/吾等并非香港期货交易所会员或证监会注册人之雇员或代理人。

I am / We are an employee or agent of a member of the Futures Exchange or a registered person of the SFC. The name of the member or the registered person to whom I / we am / are engaged as an employee or agent is  
本人/吾等是香港期货交易所会员或证监会注册人之雇员或代理人，该会员或注册人之名称为：  
\_\_\_\_\_

(b)  I am / We are not related to any employee of T G Securities Limited or the group companies.

本人/吾等与汇泽及其集团成员公司之雇员或董事并无直属关系。

I am / We are related to the employee of T G Securities Limited or the group companies. The name of employee is:

本人/吾等与汇泽及其集团成员公司之雇员或董事有直属关系，该雇员之名称为：

\_\_\_\_\_ Relationship 关系: \_\_\_\_\_

(c)  I am / We are not acting as an intermediary for the account. The trading orders placing with T G Securities are not for any of my / our clients or any ultimate beneficiary.

本人/吾等并非以户口中介人的身份形式。向汇泽作出的买卖指令，均非为本人/吾等的客户或任何本人/吾等以外的最终受益人作出。

I am / We are acting as an intermediary for the account. Please list below:

本人/吾等是以户口中介人的身份形式。请详列如下：

The ultimate beneficial owner(s) ~~are~~ 最终受益人之名称为：

Notes:

Intermediary: a firm opening and operating a futures/options trading account for its clients.

Ultimate Beneficial owner: the person who bears the P&L of the futures/options trading.

Person ultimate responsible for giving instructions: the person who is authorized to give instructions for futures/options trading.



汇泽证券有限公司  
T G SECURITIES LIMITED

ID / Passport No.

身份证号码/护照号码： \_\_\_\_\_

Contact No.

联络电话号码： \_\_\_\_\_

Residential Address 住宅地址：

Employer's Name

雇主名称： \_\_\_\_\_

Occupation

职业： \_\_\_\_\_

Employer's Address 雇主地址：

Person(s) ultimately responsible for giving instructions in relation to transactions to be conducted through the account is / are

最终负责就经该账户进行之交易发出指示之人士：

ID / Passport No.

身份证号码/护照号码： \_\_\_\_\_

Contact No.

联络电话号码： \_\_\_\_\_

Residential Address 住宅地址：

Employer's Name

雇主名称： \_\_\_\_\_

Occupation

职业： \_\_\_\_\_

Employer's Address 雇主地址：



汇泽证券有限公司  
T G SECURITIES LIMITED

The Client represents that the information contained in this Account Opening Information Form is true, complete and correct and that the representations in the attached current version of the Standard Terms and Conditions for Futures and Options Trading of T G Securities Limited (the "Agreement") are accurate. T G Securities Limited ("T G") is entitled to rely fully on such information and representations for all purposes, unless T G receives notice in writing of any change. T G is authorized at any time to contact anyone, including my / our banks, brokers and credit agency, for the purpose of verifying the information provided on this Account Opening Information Form.

客户此声明在本开户资料表内的资料均属真实，完整及正确，而附上汇泽证券有限公司最新版本的期货及期权交易标准条款（“该协议书”）一切内容准确。除非汇泽证券有限公司（“汇泽证券”）收到有关更改开户资料表内容的书面通知，汇泽证券有权在任何用途上完全依赖这些资料及声明。汇泽证券有权随时联络任何人，包括客户之银行、经纪或任何信贷机构，以求证实此开户资料表所载之内容。

I/We, the undersigned Client(s), hereby apply to open Futures / Options Account. I/We have read and understood the provisions of the Agreement of which this document forms a part and agree to be bound by the agreement (receipt of a copy whereof is hereby acknowledged by me/us) as the same may be amended from time to time. I/We acknowledge and confirm that T G has provided the Risk Disclosure Statements and Disclaimers annexed hereto in a language my/our choice (English or Chinese) and I/we have been invited to read the same, to ask questions and take independent advice if I/we wish.

本人/吾等，下属签署客户，现申请开立期货/期权买卖账户。本人/吾等已阅读过及明白附上的该协议书，而本文件乃该协议书的一部分，并同意接受可不时被修改的该协议书（谨此声明本人/吾等已收妥其副本）所约束。本人/吾等确认汇泽证券已按照本人/吾等选择的语言（中文或英文）获提供附于本表的风险披露声明及免责声明，及已获邀阅读该等声明、提出问题及征求独立的意见（如本人/吾等有此意愿）。



\_\_\_\_\_  
Authorized Signature 授权人签名

\_\_\_\_\_  
Date 日期



汇泽证券有限公司  
T G SECURITIES LIMITED

**For Office Use Only** 只供本行使用

DECLARATION BY STAFF **职员声明**

I/We, T G representative(s), hereby declare and confirm that I/we have provided the Risk Disclosure Statement and Disclaimers in a language of the Client's choice (English or Chinese) and invited the Client to read the same, ask questions and take independent advice if the Client/Joint Client so wishes.

本人/吾等为汇泽证券有限公司的注册职员，并仅此声明及确认本人/吾等已按照上述客户所选择的语言(英文或中文)提供附于本表的风险披露声明及免责声明及邀请客户/联名客户阅读该等声明，提出问题及征求独立的意见。

No need to fill in this page which is for office use only

Signed by licensed or registered person

Name and CE No. of TG Staff

Date

注册人签署

汇泽职员名称及 CE 编号

日期

**For Official Use Only** 只供本行使用

Introduced by 介绍人：

How Long Known to Client 与客户相识年限：

Name of Account Executive 客户主任姓名：

AE No 客户主任号码：

Bank and Credit References Obtained 已获银行及信贷参考：  
Yes 是/ No 否

Credit Limit Suggested by AE 客户主任建议之信用额：

Documents Checked By 文件查核：

Account Executive Signature 客户主任签署：

Account Approved By 批准：

**SPECIMEN SIGNATURE CARD**



汇泽证券有限公司  
T G SECURITIES LIMITED

**Specimen Signature Card 签名样式卡**

Name 姓名	ID Card No./Passport No. 身份证\护照号码	Specimen Signature(s) 签名
1. <b>Simon Williams, Manley</b>	<b>441295198308309980</b>	
2.		
3.		
4.		
5.		

<b>Company Chop 公司印章</b> (for corporate account) (适用于公司帐户)	<b>No. of signature(s) 同时签署方能生效的人数</b> (for joint & corporate account) (适用于联名或公司帐户)
	<b>Contact Person 联系人</b> (for joint & corporate account) (适用于联名或公司帐户)
	<b>Phone Number 联络电话:</b>
	<b>Date 日期 :</b>

<b>Bank 开户银行: Bank Of China(Hong Kong)</b>	<b>Account Number 户口号码: 012-875-12345678</b>
--	--